Attorney Docket No. 020431.0662

In re Application of:

BRIAN M. KENNEDY

Serial No. 09/510,607

Filed: 22 FEBRUARY 2000

For: MANAGING DATA ASSOCIATED WITH

AVAILABLE-TO-PROMISE (ATP)

PRODUCTS

§ §

Examiner:

CAROLYN M. BLECK

Art Unit: 3626

Confirmation No.: 2320

RENEWED PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37 C.F.R. § 1.181(a)

MAIL STOP: PETITION
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This Renewed Petition to Withdraw Holding of Abandonment Under 37 C.F.R. § 1.181(a) is in response to the Decision from the Office of Petitions dated 7 February 2006, which provides for a response period ending 7 April 2006.

A Declaration by Darla J. Rupert, a person with first hand knowledge of the transmission and a duplicate Copy of the Amendment filed 2 April 2003, including the Facsimile Transmit Confirmation Report is being filed herewith. Please consider the following remarks and withdraw the holding of abandonment.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

Date of Deposit: 3/10/06

I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail with sufficient postage under 37 C.F.R. §1.8(a) on the date indicated above and is addressed to Mail Stop: Petition, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450.

Renewed Petition To Withdraw Holding of Abandonment Attorney Docket No. 020431.0662 Serial No. 09/510,607

Page 1

REMARKS:

This Renewed Petition to Withdraw Holding of Abandonment Under 37 C.F.R. §

1.181(a) is being filed in response to the Decision from the Office of Petitions dated 7

February 2006, which provides for a response period ending 7 April 2006. The original

Petition to Withdraw Holding of Abandonment Under 37 C.F.R. § 1.181(a) was filed on 18

November 2005, in response to the Notice of Abandonment mailed 18 October 2005, in

the subject Application. The Decision from the Office of Petitions dated 7 February 2006.

stated that the Petition filed on 18 November 2005 was dismissed because a statement

must be made by one with first hand knowledge of the submission of the paper. The

Decision further stated that since the certificate of transmission was signed by Darla J.

Rupert, a statement by Ms. Rupert should be supplied.

In response, the Applicant is submitting herewith a Declaration of Darla J. Rupert,

which attests to the fact that Ms. Rupert signed the Certificate of Transmission on the

Amendment, that was timely filed via facsimile on 2 April 2003, and that the Amendment

was in fact sent via facsimile on 2 April 2003.

A telephone call was made by the undersigned, Steven J. Laureanti, to the

Petitions Attorney Mr. Steven Brantley on 8 March 2006 regarding the proper language

required for the Declaration of Ms. Rupert. Mr. Brantley stated that Ms. Rupert should

supply a statement that she signed the Certificate of Transmission on the Amendment and

that she did in fact send the Amendment via facsimile on 2 April 2003. The Applicant

greatly appreciates the guidance given by Mr. Brantley.

The Decision from the Office of Petitions dated 7 February 2006, stated that a

request for reconsideration must be submitted within two (2) months from the mail date of

the decision and that no further petition fee is required for the request. The Applicant is

submitting this Request for Reconsideration within two (2) months of the date of the

Decision from the Office of Petitions dated 7 February 2006. Therefore, this Renewed

Petition to Withdraw Holding of Abandonment is considered timely filed and does not

require a fee.

Renewed Petition To Withdraw Holding of Abandonment Attorney Docket No. 020431.0662 Serial No. 09/510,607 Petition to Withdraw Holding of Abandonment Under 37 C.F.R. § 1.181(a)

The Petition to Withdraw Holding of Abandonment Under 37 C.F.R. § 1.181(a) is

being filed in response to the Notice of Abandonment mailed 18 October 2005, in the

subject Application. The Applicant submitted the Petition within two (2) months of the date

of the Notice of Abandonment. Therefore, the Petition to Withdraw Holding of

Abandonment is considered timely filed and does not require a fee. The Notice of

Abandonment stated that the application was abandoned for failure to timely file a proper

reply to the Office letter mailed on 16 January 2003. The Applicant respectfully disagrees.

The Applicant respectfully submits that the Amendment was timely filed in response

to the Office Action dated 16 January 2003, which provided for a response period ending

16 April 2003, since the Amendment was filed on 2 April 2003. In addition, a Certificate of

Transmission, signed by Darla J. Rupert, is included on the Amendment which attests to

the timely transmission of the Amendment being sent via facsimile transmission on 2 April

2003. In addition, the Applicant is submitting herewith a Declaration of Darla J. Rupert

stating that she did sign the Certificate of Transmission on the Amendment on 2 April

2003, and that the Amendment was in fact sent via facsimile on 2 April 2003.

Furthermore, the Facsimile Transition Confirmation Report states that the date of

transmission was 2 April 2003, the facsimile number was a valid United States Patent and

Trademark (Office) Facsimile number (703) 745-5582, the total number of pages

transmitted to the Office was seventeen (17) pages (one (1) cover sheet and sixteen (16)

sheets of the Amendment), and all pages were transmitted to the Office successfully

(result OK). This Facsimile Transition Confirmation Report coupled with the Certificate of

Transmission and the Declaration of Darla J. Rupert is prima facie evidence that supports

the conclusion that the Amendment was filed on 2 April 2003.

The Applicant further submits that the Applicant has maintained prosecution of the

subject Application, in good faith. For example, the Applicant directs the Director to a brief

summary of the prosecution timeline, during the time of interest:

2 April 2003

Responded to OA dated 16 January

2003 via facsimile

8 April 2003	Information Disclosure Statement mailed, including check for \$180		
15 December 2003	First Request for Status mailed		
15 December 2003	Information Disclosure Statement mailed, including check for \$180		
15 September 2004	Second Request for Status mailed		
9 December 2004	Information Disclosure Statement mailed, including check for \$180		
14 June 2005	Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address mailed		

The Applicant further submits that attached to the Notice of Abandonment mailed 18 October 2005, is an Interview Summary USPTO Form PTOL-413, for a telephonic interview conducted on 26 September 2005. The telephonic interview was conducted by Examiner Carolyn M. Bleck with Christopher Kennerly. However, the Applicant submitted a Revocation of Power of Attorney on 14 June 2005, which revoked the power of attorney associated with Christopher Kennerly. The Applicant also submitted a New Power of Attorney and a Change of Correspondence Address on 14 June 2005, which directed the Office to direct all correspondence to Customer Number 53184, of which Christopher Kennerly is not a part thereof. The Applicant is confused as to why the Office did not recognize the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address. However, the Applicant notes with appreciation that the Decision from the Office of Petitions dated 7 February 2006, states that the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address filed on 17 June 2005 has been entered and made of record.

The Applicant still further submits that the undersigned called Examiner Bleck on 16 October 2005 to discuss the Notice of Abandonment. In addition, Examiner Bleck noted that the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address was received in the file. Furthermore, Examiner Bleck advised the undersigned that if the Applicant believes the mistake for non-recognition of the

Amendment and the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address was made on the part of the Office, the Applicant may submit a Reconsideration of Holding of Abandonment pursuant to MPEP § 711.03.

The Applicant respectfully submits that the Petition conforms to MPEP § 711.03 and 37 C.F.R. § 1.181(a) for petitions to withdraw holding of abandonment based on evidence that a reply was timely mailed or filed: (1) the Applicant submitted the Petition within two (2) months of the date of the Notice of Abandonment, as such; the Petition is considered timely filed and does not require a fee; (2) the Applicant is again submitting a duplicate copy of the original Amendment including a Certificate of transmission, which attests to the timely transmission of the Amendment being sent via facsimile transmission on 2 April 2003; (3) the Applicant is submitting a Declaration of Darla J. Rupert stating that she did sign the Certificate of Transmission on the Amendment on 2 April 2003, and that the Amendment was in fact sent via facsimile on 2 April 2003; (4) the Applicant is again further submitting the Facsimile Transition Confirmation Report that states that the date of transmission was 2 April 2003; and (5) the non-recognition of the Amendment filed on 2 April 2003 and the non-recognition of the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address mistake was made on the part of the Office.

Thus, the Applicant respectfully requests reconsideration of the holding of abandonment and respectfully requests the Director to withdraw the holding of abandonment on the subject Application and enter the Amendment filed on 2 April 2003. The Applicants greatly appreciate the Office's recognition of the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address, as noted in the Decision from the Office of Petitions dated 7 February 2006.

CONCLUSION:

Because this Request for Reconsideration is being filed within two (2) months of the date of the Decision from the Office of Petitions dated 7 February 2006 and the Petition to Withdraw Holding of Abandonment Under 37 C.F.R. § 1.181(a) was filed within two (2) months of the date of the Notice of Abandonment, no fees are deemed to be necessary; however, the undersigned hereby authorizes the Commissioner to charge any additional fees which may be required, or credit any overpayments, to **Deposit Account No. 500777**.

Please link this application to Customer No. 53184, so that its status may be checked via the PAIR System.

Respectfully submitted,

3/10/06

Date

James E. Walton, Registration No. 47,245

Brian E. Harris, Registration No. 48,383

Steven J. Laureanti, Registration No. 50,274

Daren C. Davis, Registration No. 38,425

Michael Alford, Registration No. 48,707

Law Offices of James E. Walton, P.L.L.C.

1169 N. Burleson Blvd., Suite 107-328

Burleson, Texas 76028

(817) 447-9955 (Voice)

(817) 447-9954 (Fax)

jim@waltonpllc.com (Email)

CUSTOMER NO. 53184

ATTORNEYS AND AGENTS FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 020431.0662

In re Application of:

BRIAN M. KENNEDY

Serial No. 09/510,607

Filed: 22 FEBRUARY 2000

For: MANAGING DATA ASSOCIATED WITH

AVAILABLE-TO-PROMISE (ATP)

PRODUCTS

}

§

Examiner:

CAROLYN M. BLECK

Art Unit: 3626

Confirmation No.: 2320

DECLARATION OF DARLA J. RUPERT

I, Darla J. Rupert, being of legal age and capacity, declare as follows:

- 1. An Amendment was timely filed via facsimile on 2 April 2003, in response to the Office Action dated 16 January 2003.
- 2. A Certificate of Transmission, of which I personally signed on 2 April 2003, is included on the Amendment which attests to the timely transmission of the Amendment.
 - 3. The Amendment was in fact sent via facsimile on 2 April 2003.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Darla J. Rupe

3-8-2006

Date

Declaration Attorney Docket No. 020431.0662 Serial No. 09/510,607 Page 1



* Transmit Conf.Report **

Apr 2 2003 16:52

Fax/Phone Number	Mode	Start	Time	Page	Result	Note
17037465582#	NORMAL	2,16:52	5'13"	17	* 0 K	

BAKER BOTTS LLP

Fax receipt will not be confirmed by phone unless requested.

2001 ROSS AVENUE DAILAS, TEXAS 75201-2980 214,953.6500 FAX 214,953.6503 www.bokerboits.com AUSTIN
BAKU
DALLAS
HOUSTON
LONDON

MOSCOW NEW YORK RIYADH

RIYADH WASHINGTON

TO Examiner Alexander Kalinowski			FAX NO. 703-746-5582		
FIRM/COMP	ANY Patent and Tradema	k Office		YOICE NO.	
FROM	Travis Thomas	VOICE NO.	214-953-6676	DATE April 2, 2003	
RETURN TO	Darla J. Rupert	PERSONAL FAX NO.	214-661-4676	PAGES (including cover) 17	

MESSAGE

Examiner Kalinowski:

Attached please find a Response Pursuant to 37 C.F.R. § 1.111 for Attorney Docket No. 020431.0662 that we discussed over the telephone. My telephone number is 214-953-6676.

Sincerely,

Travis W. Thomas



701 ROSS AVENUE ALIAS, TEXAS 75201-2980 214.953.6500 FAX 214.953.6503 www.bakerbolls.com AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
MOSCOW
NEW YORK
RIYADH
WASHINGTON

то	то Examiner Alexander Kalinowski			fax no. 703-746-5582		
FIRM/COMP	ANY Patent and Trade	mark Office		VOICE NO.		
FROM	Travis Thomas	VOICE NO.	214-953-6676	DATE April 2, 2003		
RETURN TO	Darla J. Rupert	PERSONAL FAX NO.	214-661-4676	PAGES (including cover) 17		

MESSAGE

Examiner Kalinowski:

Attached please find a Response Pursuant to 37 C.F.R. § 1.111 for Attorney Docket No. 020431.0662 that we discussed over the telephone. My telephone number is 214-953-6676.

Sincerely,

Travis W. Thomas

Notice of Confidentiality

The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon the information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 214.953.6740 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

If you do not receive all pages, please call: 214.953.6566 or 214.953.6740 for assistance.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Brian M. Kennedy

Serial No.:

09/510,607

Filing Date:

February 22, 2000

Group Art Unit:

2166

Examiner:

Alexander Kalinowski

Title:

Managing Data Associated with Available-to-Promise

(ATP) Products

Honorable Assistant Commissioner for Patents

P. O. Box 2327

Arlington, VA 22202

I hereby certify that this correspondence is being sent via facsimile transmission to Assistant Commissioner for Patents, Washington, D.C. on the date shown below.

Darla J. Rupert

Date: April 2, 2003

Dear Sir:

RESPONSE PURSUANT TO 37 C.F.R. § 1.111

In response to the Office Action mailed January 16, 2003, Applicant respectfully requests the Examiner to reconsider the rejection of the claims in view of the following amendment and remarks.

In the Claims

Please amend the claims as follows:

1-10. (Cancelled).

11. (Currently Amended) A system for managing data associated with available-to-promise (ATP) products, comprising:

at least two seller models that each represent a seller for one or more products, each product being associated with a product forecast model representing:

forecasted sales of the product through the seller; planned supply of the product; customer orders for the product through the seller; and

pre-allocated supply of the product to the seller, the pre-allocated supply being a supply of the product that has been pre-allocated to the seller for promising to subsequent customer orders for the product through the seller, the pre-allocation of the supply of the product to the seller occurring prior to distribution of the pre-allocated supply of the product to the seller; and

the system operable to compute the amount of the product that is ATP at a seller according to <u>at least</u> the planned supply <u>of the product</u>, the customer orders <u>for the product</u> through the seller, the pre-allocated supply <u>of the product to the seller</u>, and the amount of the product that is ATP at one or more other sellers <u>at a higher level in a seller hierarchy</u>.

12. (Previously Amended) The system of Claim 11, further operable to adjust the pre-allocated supply of the product to the seller according to one or more business criteria selected from the group consisting of seller criteria, product criteria, forecast criteria, supply criteria, customer order criteria, and policy criteria.

13. **(Previously Amended)** The system of Claim 11, further operable to: communicate forecast models to a remote system;

receive from the remote system a promise computed at the remote system for a customer order requesting a quantity of a product through the seller, the promise being computed according to the pre-allocated supply of the product to the seller;

receive from the remote system adjusted forecast models reflecting the promise; and recompute the amount of the product that is ATP at the seller.

14. (Currently Amended) The system of Claim 13, wherein:

all forecast models for one or more sellers are communicated to the remote system; and

the system is further operable to:

receive from the remote system the promise also computed according to the amount of the product that is ATP at the one or more other sellers <u>at the higher level</u> <u>in the seller hierarchy</u>; and

adjust the amount of the product that is ATP at the one or more other sellers <u>at</u>

<u>the higher level in the seller hierarchy</u> if the promise exceeds the pre-allocated supply of the product to the seller.

- 15. (Previously Amended) The system of Claim 11, wherein the forecast model further represents a quantity of the product the seller has committed to selling, the system operable to adjust the pre-allocated supply of the product to the seller according to the committed quantity.
- 16. (Previously Amended) The system of Claim 11, further operable to:
 accept a customer order requesting a quantity of a product through the seller; and
 compute a promise for the customer order according to the planned supply and one or
 more existing customer orders, the promise restricted according to the pre-allocated supply of
 the product to the seller.

17. (Previously Amended) The system of Claim 11, wherein:

each forecast model is extensible such that one or more policy rules may be associated with the corresponding product;

each policy rule comprises a restriction on either the forecasted sales or the preallocated supply of the product to the seller; and

either the forecasted sales or the pre-allocated supply of the product to the seller is computed according to the policy rules.

18. (Previously Amended) The system of Claim 11, further operable to adjust either the forecasted sales or the pre-allocated supply of the product to the seller according to an arrival rate of customer orders for the product through the seller.

19. (Previously Amended) A system for managing data associated with available-to-promise (ATP) products, comprising:

at least one seller model representing a seller for products that each correspond to an item having one or more restrictions on its sale, at least two products corresponding to the same item but with at least one different restriction, each product being associated with a product forecast model representing:

forecasted sales of the product through the seller; planned supply of the product; customer orders for the product through the seller; and

pre-allocated supply of the product to the seller, the pre-allocated supply being a supply of the product that has been pre-allocated to the seller for promising to subsequent customer orders for the product through the seller, the pre-allocation of the supply of the product to the seller occurring prior to distribution of the pre-allocated supply of the product to the seller; and

the system operable to compute the amount of the product that is ATP at a seller according to <u>at least</u> the planned supply <u>of the product</u>, the customer orders <u>for the product</u> <u>through the seller</u>, the pre-allocated supply <u>of the product to the seller</u>, and the amount of the product that is ATP at one or more other sellers <u>at a higher level in a seller hierarchy</u>.

- 20. (Previously Added) The system of Claim 19, wherein the restrictions are selected from the group consisting of price restrictions, quantity restrictions, and lead time restrictions.
- 21. (Previously Amended) The system of Claim 19, further operable to adjust the pre-allocated supply of the product to the seller according to one or more business criteria selected from the group consisting of seller criteria, product criteria, forecast criteria, supply criteria, customer order criteria, and policy criteria.

22. (Previously Amended) The system of Claim 19, further operable to: communicate forecast models to a remote system;

receive from the remote system a promise computed at the remote system for a customer order requesting a quantity of one or more items through the seller, the promise being computed according to at least the pre-allocated supply for corresponding products;

receive from the remote system adjusted forecast models reflecting the promise; and recompute the amounts of the corresponding products that are ATP at the seller.

- 23. (Previously Amended) The system of Claim 19, wherein the forecast model further represents a quantity of corresponding products the seller has committed to selling, the system operable to adjust the pre-allocated supply of the product to the seller according to the committed quantity.
- 24. (Previously Amended) The system of Claim 19, further operable to: accept a customer order requesting quantities of one or more items through the seller; and

compute a promise for the customer order according to the pre-allocated supply for corresponding products, wherein the promise comprises a plurality of options each with one or more of the restrictions specified for these products.

25. (Previously Amended) The system of Claim 19, wherein:

each forecast model is extensible such that one or more policy rules may be associated with the corresponding product;

each policy rule comprises a restriction on either the forecasted sales or the preallocated supply of the product to the seller; and

either the forecasted sales or the pre-allocated supply of the product to the seller are computed according to the policy rules.

26. (Previously Amended) The system of Claim 19, further operable to adjust either the forecasted sales or the pre-allocated supply for one or more products for the seller according to an arrival rate of customer orders for those products through the seller.

27. (Previously Amended) A method for managing data associated with available-to-promise (ATP) products, comprising:

accessing at least two seller models that each represent a seller for one or more products, each product associated with a product forecast model representing:

forecasted sales of the product through the seller;

planned supply of the product;

customer orders for the product through the seller; and

pre-allocated supply of the product to the seller, the pre-allocated supply being a supply of the product that has been pre-allocated to the seller for promising to subsequent customer orders for the product through the seller, the pre-allocation of the supply of the product to the seller occurring prior to distribution of the pre-allocated supply of the product to the seller; and

computing the amount of the product that is ATP at a seller according to <u>at least</u> the planned supply <u>of the product</u>, the customer orders <u>for the product through the seller</u>, the pre-allocated supply <u>of the product to the seller</u>, and the amount of the product that is ATP at one or more other sellers <u>at a higher level in a seller hierarchy</u>.

- 28. (Previously Amended) The method of Claim 27, further comprising adjusting the pre-allocated supply of the product to the seller according to one or more business criteria selected from the group consisting of seller criteria, product criteria, forecast criteria, supply criteria, customer order criteria, and policy criteria.
 - 29. **(Previously Amended)** The method of Claim 27, further comprising: communicating forecast models to a remote system;

receiving a promise computed at the remote system for a customer order requesting a quantity of a product through the seller, the promise having been computed according to the pre-allocated supply of the product to the seller;

receiving from the remote system adjusted forecast models reflecting the promise; and recomputing the amount of the product that is ATP at the seller.

30. (Currently Amended) The method of Claim 29:

wherein all forecast models for one or more sellers are communicated to the remote system;

wherein the promise has also been computed according to the amount of the product that is ATP at the one or more other sellers at the higher level in the seller hierarchy; and

further comprising adjusting the amount of the product that is ATP at the one or more other sellers at the higher level in the seller hierarchy if the promise exceeds the pre-allocated supply of the product to the seller.

31. (Previously Amended) The method of Claim 27:

wherein the forecast model further represents a quantity of the product the seller has committed to selling; and

further comprising adjusting the pre-allocated supply of the product to the seller according to the committed quantity.

32. (Previously Amended) The method of Claim 27, further comprising: accepting a customer order requesting a quantity of a product through the seller; and computing a promise for the customer order according to the planned supply and one or more existing customer orders, the promise restricted according to the pre-allocated supply of the product to the seller.

33. (Previously Amended) The method of Claim 27, wherein:

each forecast model is extensible such that one or more policy rules may be associated with the corresponding product;

each policy rule comprises a restriction on either the forecasted sales or the preallocated supply of the product to the seller; and

either the forecasted sales or the pre-allocated supply of the product to the seller is computed according to the policy rules.

34. (Previously Amended) The method of Claim 27, further comprising adjusting either the forecast values or the pre-allocated supply of the product to the seller according to an arrival rate of customer orders for the product through the seller.

35. (Currently Amended) A method for managing data associated with available-to-promise (ATP) products, comprising:

accessing at least one seller model representing a seller for products that each correspond to an item having one or more restrictions on its sale, at least two products corresponding to the same item but with at least one different restriction, each product being associated with a product forecast model representing:

forecasted sales of the product through the seller; planned supply of the product; customer orders for the product through the seller; and

pre-allocated supply of the product to the seller, the pre-allocated supply being a supply of the product that has been pre-allocated to the seller for promising to subsequent customer orders for the product through the seller, the pre-allocation of the supply of the product to the seller occurring prior to distribution of the pre-allocated supply of the product to the seller; and

computing the amount of the product that is ATP at a seller according to <u>at least</u> the planned supply <u>of the product</u>, the customer orders <u>for the product through the seller</u>, the pre-allocated supply <u>of the product to the seller</u>, and the amount of the product that is ATP at one or more other sellers <u>at a higher level in a seller hierarchy</u>.

- 36. (Previously Added) The method of Claim 35, wherein the restrictions are selected from the group consisting of price restrictions, quantity restrictions, and lead time restrictions.
- 37. (Previously Amended) The method of Claim 35, further comprising adjusting the pre-allocated supply of the product to the seller according to one or more business criteria selected from the group consisting of seller criteria, product criteria, forecast criteria, supply criteria, customer order criteria, and policy criteria.

38. **(Previously Amended)** The method of Claim 35, further comprising: communicating forecast models to a remote system;

receiving a promise computed at the remote system for a customer order requesting a quantity of one or more items through the seller, the promise having been computed according to at least the pre-allocated supply for corresponding products;

receiving from the remote system adjusted forecast models reflecting the promise; and recomputing the amounts of the corresponding products that are ATP at the seller.

39. (Previously Amended) The method of Claim 35, wherein:

the forecast model further represents a quantity of corresponding products the seller has committed to selling; and

further comprising adjusting the pre-allocated supply of the product to the seller according to the committed quantity.

40. (Previously Amended) The method of Claim 35, further comprising:

accepting a customer order requesting quantities of one or more items through the seller; and

computing a promise for the customer order according to the pre-allocated supply for corresponding products, wherein the promise comprises a plurality of options each with one or more of the restrictions specified for these products.

41. (Previously Amended) The method of Claim 35, wherein:

each forecast model is extensible such that one or more policy rules may be associated with the corresponding product;

each policy rule comprises a restriction on either the forecasted sales or the preallocated supply of the product to the seller; and

either the forecasted sales or the pre-allocated supply of the product to the seller is computed according to the policy rules.

42. (Previously Amended) The method of Claim 35, further comprising adjusting either the forecasted sales or the pre-allocated supply for one or more products for the seller according to an arrival rate of customer orders for those products through the seller.

REMARKS

This Application has been carefully reviewed in light of the Office Action mailed January 16, 2003. Although Applicant believes all pending claims are allowable over the cited references without amendment, to expedite issuance of the Application, Applicant has made clarifying amendments to Claims 11, 14, 19, 27, 30, and 35. None of these changes are considered necessary for patentability. Applicant respectfully requests reconsideration and favorable action.

Telephone Interview

In a telephone interview on April 2, 2003, Applicant discussed differences between Applicant's claims and the references cited by the Examiner. Applicant agreed to submit an amendment to clarify the claims.

The Claims are Allowable Over the Cited References

The Examiner rejects Claims 11-16, 18, 27-32, and 34 under 35 U.S.C. § 103(a) as being unpatentable over European Patent Office Publication No. 0425405A2 by James et al. ("James") in view of U.S. Patent No. 5,712,985 to Lee et al. ("Lee") and in further view of The Keys to the Enterprise: Integrated Applications Drive Information Systems to New Horizons by Rhodes ("Rhodes"). The Examiner rejects Claims 17, 19-26, 33, and 35-42 under 35 U.S.C. § 103(a) as being unpatentable over James in view of Lee, in further view of Rhodes, and in further view of Dun & Bradstreet Software Delivers Sales and Promotion System to Manufacturers by Smith et al. ("Smith").

Independent Claims 11, 19, 27, and 35 of the present application recite limitations that are not disclosed, taught, or suggested in any combination of James, Lee, Rhodes, Smith, and New Para Research MRP II System Adapts Itself to Your Business by Cavallaro ("Cavallaro"). As an example, neither James, Lee, Rhodes, Smith, nor Cavallaro disclose, teach, or suggest the following limitations recited in independent Claims 11, 19, 27, and 35, whether these references are considered individually or in combination with one or more of

each other or with knowledge generally available to those skilled in the art at the time of the invention:

- "pre-allocated supply of the product to the seller, the pre-allocated supply being a supply of the product that has been pre-allocated to the seller for promising to subsequent customer orders for the product through the seller, the pre-allocation of the supply of the product to the seller occurring prior to distribution of the pre-allocated supply of the product to the seller"; and
- computing "the amount of the product that is ATP at a seller according to at least the planned supply of the product, the customer orders for the product through the seller, the pre-allocated supply of the product to the seller, and the amount of the product that is ATP at a higher level in a seller hierarchy."

Accordingly, for at least these reasons, Applicant respectfully requests allowance of independent Claims 11, 19, 27, and 35, along with all claims that depend on independent Claims 11, 19, 27, and 35.

Conclusion

For the foregoing reasons, and for other reasons clearly apparent, Applicant respectfully requests reconsideration and full allowance of all pending claims.

If the Examiner believes that a telephone conference would advance prosecution of this Application in any manner, the Examiner is invited to call Christopher W. Kennerly, attorney for Applicant, at 214.953.6812.

Applicant believes no fees are due. Nonetheless, the Commissioner is hereby authorized to charge any fee and credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted, BAKER BOTTS L.L.P.

Attorneys for Applicant

Christopher W. Kennerly Reg. No. 40,675

Com

Correspondence Address:

Baker Botts L.L.P. 2001 Ross Avenue, Suite 600 Dallas, Texas 75201-2980 (214) 953-6812

Date: April 2, 2003